



# Source attribution of near-surface ozone pollution in Jiangsu Province of China over 2013–2019

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## HIGHLIGHTS

- Ozone in Jiangsu is mainly from remote NO<sub>x</sub> emissions via long-range transport.
- Local anthropogenic NO<sub>x</sub> emissions contribute 13 % to annual ozone in Jiangsu.
- Transportation and industry sectors are the main anthropogenic sources in Jiangsu.
- Extreme ozone pollution are due to both enhanced production and regional transport.

## ABSTRACT

Near-surface ozone (O<sub>3</sub>) is one of the most severe air pollutants in China, particularly over densely populated Jiangsu Province in the Yangtze River Delta. In this study, an O<sub>3</sub> source tagging technique is utilized in a chemistry-climate model to quantify the source contributions of various emission sectors and regions for nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs) to O<sub>3</sub> concentrations in Jiangsu Province during 2013–2019. The results show that the near-surface O<sub>3</sub> in Jiangsu Province is mainly contributed by surrounding and remote anthropogenic NO<sub>x</sub> emissions through long-range transport. Local anthropogenic NO<sub>x</sub> emissions account for only 13 % and 18 % of the annual and summertime mean near-surface O<sub>3</sub> in Jiangsu Province, respectively. Anthropogenic NO<sub>x</sub> emissions from the surface transportation, industry, and energy sectors account for 21 %, 22 % and 20 % of the annual mean near-surface O<sub>3</sub> concentration in Jiangsu Province, respectively. Biogenic and anthropogenic VOCs emissions each explains one-third of the annual mean near-surface O<sub>3</sub> concentration in Jiangsu, while methane and stratospheric chemical production contribute 21 % and 6 %, respectively. The sources from stratospheric production, aircraft, lightning, and foreign emissions are the primary contributors to O<sub>3</sub> in the mid- and high troposphere. During high pollution days in Jiangsu Province, the near-surface O<sub>3</sub> concentrations increase with the maximum exceeding 20 ppb, which is attributed to both the enhanced photochemical production and regional transport in favorable meteorological conditions.

## 1. Introduction

Ozone (O<sub>3</sub>) in the troposphere is an important air pollutant with strong oxidizing properties (Voulgarakis et al., 2013; Turner et al., 2015; Lefohn et al., 2017; Lefohn et al., 2018). When the O<sub>3</sub> concentration reaches a certain level, it can damage human upper respiratory tract and lungs (Lim et al., 2012) and affect the production process of plants (Lefohn et al., 2018). O<sub>3</sub> near the surface is primarily generated by photochemical reactions between nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs), through complex chemical mechanisms (Monks et al., 2015). It is also contributed by the

stratosphere-troposphere exchange (Lelieveld et al., 2000; Chen et al., 2024) and long-distance transport (Li et al., 2002; Sudo and Akimoto, 2007; Pfister et al., 2013). Due to the relative long chemical life of tropospheric O<sub>3</sub> (Jacob et al., 1999; Bates and Jacob, 2020), the long-distance transport of O<sub>3</sub> brings great difficulty and uncertainty to the control of regional near-surface O<sub>3</sub> pollution (Stevenson et al., 2006; Wang et al., 2023). In addition to affecting human health, as a greenhouse gas (Stevenson et al., 2013; Myhre et al., 2013), O<sub>3</sub> also impacts the atmospheric radiation balance and global climate change (Szopa et al., 2021).

In recent decades, O<sub>3</sub> concentration in East Asia, especially China,

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shows a significant upward trend (Gaudel et al., 2018; Lin et al., 2017; Schultz et al., 2017). Since the 2010s, China's air pollution prevention and control has focused on PM<sub>2.5</sub> (particulate matter less than 2.5  $\mu\text{m}$  in diameter). Through unremitting efforts, the problem of PM<sub>2.5</sub> pollution in China has been significantly alleviated (Chen et al., 2018; Li et al., 2019a). However, the concentration of near-surface O<sub>3</sub> has increased (Thomas et al., 2012; Li et al., 2019a, 2019b; Liu et al., 2019) and it becomes one of the most severe air pollutants in China, making it a top priority for scientific research and control strategies (L. Li et al., 2019). O<sub>3</sub> near the surface in China typically comes from both local photochemical formation and regional transport from upwind regions (Ge et al., 2021; Wang et al., 2021; Zheng et al., 2010). Several studies quantified the sources of O<sub>3</sub> over the Beijing-Tianjin-Hebei (BTH) and the Pearl River Delta (PRD) regions in China (Duan et al., 2008; Li et al., 2012; Shen et al., 2015). Wang et al. (2021) used the GEOS-Chem adjoint model to analyze the precursors contributing to surface O<sub>3</sub> in the BTH of China in June 2019 and found that BTH O<sub>3</sub> on heavily polluted days was sensitive to local emissions and precursors emitted from the provinces south of BTH. Wang et al. (2020) quantified the source contribution to ground-level O<sub>3</sub> in Beijing and Shanghai in August 2013 using the Community Multiscale Air Quality (CMAQ) model with a source-oriented SAPRC-11 photochemical mechanism. They found that near-surface O<sub>3</sub> in Beijing was mainly attributed to local emissions (51 %) and emissions from Hebei Province (31 %), while local emissions (53 %) and emissions from Zhejiang (19 %) and Jiangsu (14 %) Provinces were the main contributors to O<sub>3</sub> in Shanghai. Li et al. (2012) applied the Comprehensive Air quality Model with extensions (CAMx) with the Ozone Source Apportionment Technology (OSAT) extensions to quantify the regional source contributions to surface O<sub>3</sub> in the PRD region during high O<sub>3</sub> episode days in July and November of 2006. They revealed that, while the contribution from sources outside PRD dominated the mean O<sub>3</sub> conditions, elevated local sources within PRD are the causative factor for the high O<sub>3</sub> episodes, with local contributions increasing from about 30 % during non-episode days to about 50 % during high O<sub>3</sub> episode days in the autumn and up to about 70 % in the summer.

Some studies focused on source apportionment of O<sub>3</sub> over the Yangtze River Delta (YRD) (Li et al., 2016). Shu et al. (2020) conducted O<sub>3</sub> source attribution in different synoptic weather conditions over the YRD during 2013–2017 based on OSAT technique in a regional transport model. They reported that summertime surface O<sub>3</sub> was sensitive to the predominant synoptic patterns, which modulate the local chemistry and regional transport of O<sub>3</sub>, with the transportation and industrial emissions being the primary sources. Li et al. (2020) also found that transportation and industrial sources dominated the non-background O<sub>3</sub> production in Nanjing of YRD, which were responsible for 52 % and 25 %, respectively, based on the source-oriented CMAQ model simulation during a regional O<sub>3</sub> pollution event that occurred in the YRD in summer 2020. Yao et al. (2023) investigated the airflow transport trajectory of O<sub>3</sub> by combining the O<sub>3</sub> observational records with a HYSPLIT (hybrid single-particle Lagrangian integrated trajectory) model and revealed that emissions of air pollutants from the Shandong Peninsula, the Korean Peninsula-Japan, and the Philippine Sea-Taiwan area aggravated O<sub>3</sub> pollution in the YRD region in summer from 2015 to 2021. These source apportionment studies used regional models that were mostly conducted for a single pollution event, and few study has examined the sources of O<sub>3</sub> from distant regions due to the domain limitation of regional models (Feng et al., 2016; Gao et al., 2016; Han et al., 2015; Li et al., 2017; Streets et al., 2007).

Source apportionment is a useful method to quantify the contribution of a specific source region or sector to air pollutants, which facilitates emission control strategies (Yang et al., 2018). Air mass trajectory tracking based on observations has been widely used to quantify the sources of air pollutants. However, the limitation of this method is that it assumes a constant lifetime of the contaminant and this method requires a large number of reverse cluster analysis of trajectories (Wang et al.,

2006; Zheng et al., 2010; Liu et al., 2016a). Sensitivity analysis by perturbing emissions obtains the responses of O<sub>3</sub> concentrations to precursor emissions, providing information on the sensitivity of pollutants to changes in emissions (Fiore et al., 2009; Hoor et al., 2009), but it requires multiple simulations for individual emission perturbations. The source tagging method can provide information on the contribution of various emission sources to air pollutants and has been widely used in recent studies (Sudo and Akimoto, 2007; Zhang et al., 2008; Emmons et al., 2012; Grewe et al., 2017; Butler et al., 2018; Han et al., 2018; Bates and Jacob, 2020; Butler et al., 2020). It considers the mass balance of air pollutants and does not have to concern the nonlinear relationship between concentration and emission. Perturbation methods are often used in the development of policies related to the amount of emission reductions with multiple simulations, while tagging methods can play a role in helping to determine which emissions to reduce in one single simulation (Butler et al., 2018).

Jiangsu Province, on the east coast of China, is a pivotal region in the Yangtze River Delta, which is one of China's most densely populated provinces, with a population exceeding 80 million. The region exhibits a humid subtropical climate, with significant seasonal variations in climate conditions (Xu et al., 2019). In recent years, Jiangsu has faced notable environmental challenges, particularly concerning air quality. Rapid industrialization and urbanization have contributed to elevated levels of air pollutants, including PM<sub>2.5</sub> and O<sub>3</sub>. Despite efforts in mitigating pollution through various environmental policies and regulations, air quality issues persist in Jiangsu Province and the broader YRD region.

In view of this, by adopting an O<sub>3</sub> tagging technique in a global chemistry-climate model, the near-surface O<sub>3</sub> concentrations in Jiangsu Province in recent years (2013–2019) contributed from various emitting regions and sectors of O<sub>3</sub> precursors are systematically quantified. This study is the first to apply the tagging technique in global chemistry-climate modeling to the study of source attributions of near-surface O<sub>3</sub> in Jiangsu Province by tagging the O<sub>3</sub> precursors NO<sub>x</sub> and VOCs separately. Sect. 2 describes the model description, tagging technique, emissions and observations, experimental design, and model evaluation. Sect. 3 quantifies contributions to O<sub>3</sub> in Jiangsu from different source regions and sectors. Sect. 4 summarizes the main conclusions and discusses this study. The contributions of anthropogenic emissions from different sectors, local emissions, long-distance transport, and stratosphere-troposphere exchange to the local O<sub>3</sub> pollution are explored to demonstrate the importance of regional coordination of prevention and control strategies and provide references for air quality management in Jiangsu Province. The meteorological influences and causes of the occurrence of high O<sub>3</sub> pollution days are also discussed to provide directions for early warning of severe pollution events.

## 2. Method

### 2.1. Model description

The Community Atmosphere Model version 4 with chemistry (CAM4-chem) (Lamarque et al., 2012; Tilmes et al., 2015), which is the atmospheric chemistry component of the Community Earth System Model (CESM), is utilized to simulate tropospheric O<sub>3</sub> concentration. The spatial resolution of the atmospheric model is 1.9° (latitude)  $\times$  2.5° (longitude) with 26 vertical layers. The model configuration uses a tropospheric chemistry mechanism based on the Model for Ozone and Related chemical Tracers version 4 (MOZART-4) (Emmons et al., 2010, 2012). The wind fields are nudged towards the MERRA-2 (Modern Era Retrospective-Analysis for Research and Applications Version 2) reanalysis (Gelaro et al., 2017) to better constrain large-scale circulations by observations. The CAM4-chem performance in simulating tropospheric O<sub>3</sub> and precursors has been fully evaluated by Tilmes et al. (2015).

## 2.2. Ozone source tagging technique

The ozone source tagging technique designed for CAM4-chem was developed by Butler et al. (2018), which provides a separate attribution of tropospheric O<sub>3</sub> to emissions of its precursors from individual sources. It requires two individual simulations attributing O<sub>3</sub> to NO<sub>x</sub> or VOCs. Details of the O<sub>3</sub> tagging technique are described in Butler et al. (2018). The tagging scheme is different from the schemes in the regional models, such as CMAQ-ISAM and CAMx OSAT, using threshold conditions to determine whether O<sub>3</sub> formation is NO<sub>x</sub>- or VOCs-limited and then attribute the generation of O<sub>3</sub> to the tag carried by a certain precursor (VOCs or NO<sub>x</sub>) (Dunker et al., 2002; Kwok et al., 2015). The tagging method used in this study attributes O<sub>3</sub> production to both NO<sub>x</sub> and VOCs and do not use the chemical indicators (Lupaşcu and Butler, 2019; Mertens et al., 2020).

In this study, near-surface O<sub>3</sub> is attributed to emitting sectors and regions. NO<sub>x</sub> and VOCs are separately tagged in two parallel simulations. For the regional sources, we separate the global sources of anthropogenic emissions into nine regions, including Northwestern China (NWC), Himalayas and Tibetan Plateau (HTP), Central China (CTC), North-eastern China (NEC), North China Plain (NCP), Eastern China excluding Jiangsu Province (ECE), Jiangsu Province (JSP), Southern China (STC), and the rest of the World (ROW) (Fig. 1). For the emitting sectors, anthropogenic emissions from agriculture (AGR), energy (ENE), industry (IND), residential, commercial and other (RCO), surface transportation (TRA), solvent production and application (SLV), waste management (WST), international shipping (SHP) are separated tagged. Biomass burning emissions (BMB), biogenic emissions (BIO), soil emissions (SOL), lightning production (LGT), aircraft emissions (AIR), chemical production in the stratosphere (STR), extra chemical production (XTR), methane produced (CH<sub>4</sub>) and carbon monoxide produced (CO) are also tagged in simulations if they exist.

## 2.3. Emissions and observations

The global anthropogenic emissions, including NO<sub>x</sub>, non-methane VOCs (NMVOCs) and CO over 2010–2019 are from the Community Emissions Data System (CEDS) version 20210205 (Hoesly et al., 2018). Biomass burning emissions are derived from CMIP6 (Coupled Model Intercomparison Project Phase 6) over 2010–2014 (van Marle et al., 2017) and the remaining years (2015–2019) are obtained from SSP2-4.5 forcing scenario (O'Neill et al., 2016), because CMIP6 historical data do not include biomass burning emissions over 2015–2019. Soil NO<sub>x</sub> emissions and biogenic NMVOCs emissions, as specified in Tilmes et al.

(2015), are kept at the present-day (2000) climatological levels during simulations. Lightning emissions of NO<sub>x</sub> are estimated according to Price et al. (1997). CH<sub>4</sub> concentration is kept constant at present-day level during simulations. To evaluate model performance, hourly O<sub>3</sub> concentrations obtained from the China National Environmental Monitoring Centre (CNEMC) (<https://air.cnemc.cn:18007>) are utilized for validation against simulated results.

## 2.4. Experimental design

Four groups of experiments are conducted in this study. Each group includes both a NO<sub>x</sub>-tagging simulation and a VOCs-tagging simulation. Two BASE experiment groups are carried out with tagging emission sectors and regions, respectively, driven by time-varying anthropogenic emissions and MERRA-2 reanalysis during 2010–2019. Two remaining sensitivity experiment groups are the same as BASE, except that anthropogenic emissions are fixed at 2019 during simulations, which aim to investigate the role of the meteorological fields in the O<sub>3</sub> differences between high pollution days and the normal days. The first 3 years are considered as model spin-up and the last 7 years are used for analyses. Unless otherwise stated, the BASE experiments are analyzed to quantify source attribution of O<sub>3</sub> in Jiangsu Province of China.

## 2.5. Model evaluation

Fig. 2 compares the model-simulated mean O<sub>3</sub> concentrations during the warm (May–September) and cold (December–January–February) seasons averaged over 2013–2019 with the observed data for the same time period. In general, the model overestimates O<sub>3</sub> mixing ratios in China warm seasons by 35 % (Table S1), while underestimates NO<sub>2</sub> mixing ratios by 40 % (Table S2). The O<sub>3</sub> bias is partly related to the simplified dry deposition scheme in CAM4 (Val Martin et al., 2014) and the possible strong photochemical reaction converting NO<sub>x</sub> to O<sub>3</sub>. It can also be attributed to the coarse resolution of the model. The model can capture the seasonal pattern of O<sub>3</sub> with high mixing ratios in the warm season and low mixing ratios in the cold season. The spatial distributions can also be roughly simulated by the model, with statistically significant correlation coefficients between simulations and observations in the range of 0.42–0.52. As patterns in China, the model also overestimates O<sub>3</sub> concentrations in Jiangsu Province, which suggests that the contributions of regional and sectoral sources to near-surface O<sub>3</sub> in Jiangsu could be overestimated in the model, especially in the warm season. As the emission reductions, the model can capture that the decreases in annual contributions to O<sub>3</sub> from anthropogenic emissions and increases in contributions from natural emissions (Tables S3 and S4).

## 3. Results

### 3.1. Source apportionment of ozone from emitting regions

Fig. 3 shows the relative contributions of NO<sub>x</sub> and VOCs emissions from individual source regions to seasonal and annual near-surface O<sub>3</sub> concentration in Jiangsu Province during the analyzed period and Tables S5 and S6 summarize the values. In the NO<sub>x</sub>-tagging experiment, anthropogenic sources from the rest of the world (ROW), the rest of the eastern region (ECE), local emissions in Jiangsu Province (JSP) and North China Plain (NCP) are the top four contributors to annual mean near-surface O<sub>3</sub> concentration in Jiangsu Province, explaining 25 %, 19 %, 13 % and 13 % of the annual mean concentration. Anthropogenic NO<sub>x</sub> emissions from ECE and JSP have the largest contributions in summer, when the photochemical reaction is intense, accounting for 28 % and 18 %, respectively, and the smallest contributions (both 5 %) in winter. The contribution of sources from ROW is the largest in winter, with a proportion of 34 %. The contribution of stratospheric chemical production (STR) to near-surface O<sub>3</sub> in Jiangsu Province is smallest in summer (1 %) and largest in winter (19 %) and the contributions of NO<sub>x</sub>

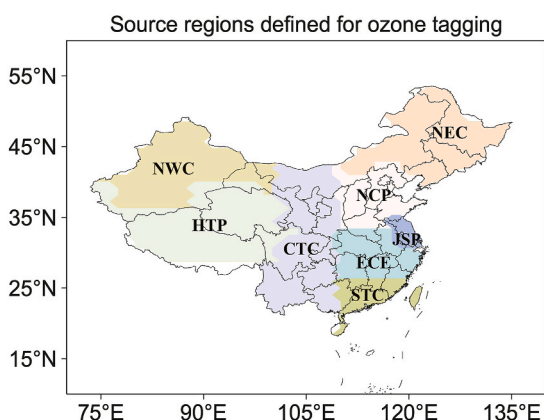
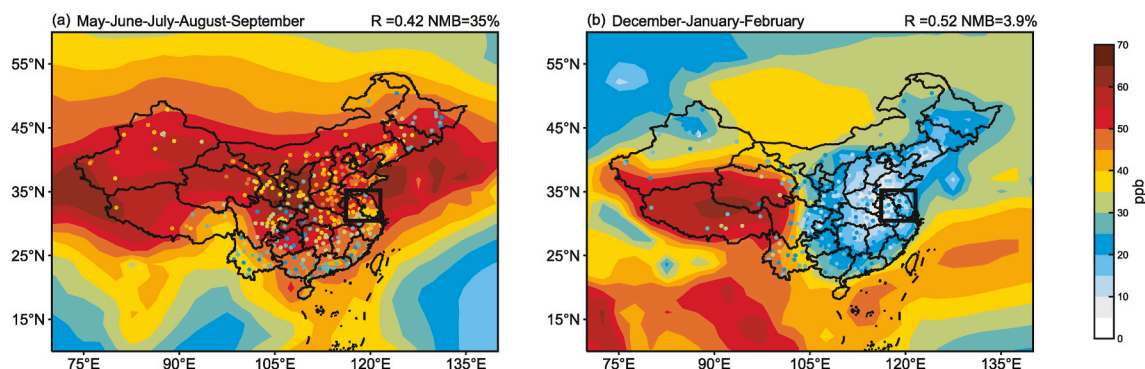
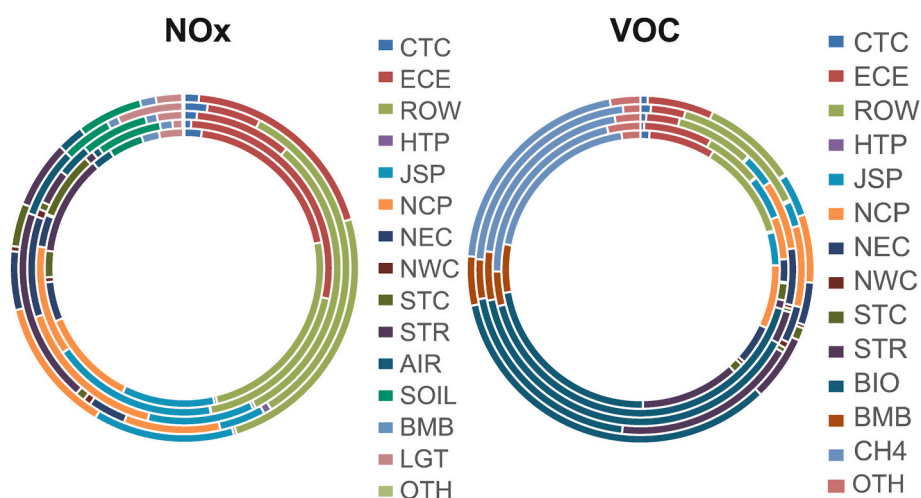


Fig. 1. Source regions defined for O<sub>3</sub> tagging, including Northwestern China (NWC), Himalayas and Tibetan Plateau (HTP), Central China (CTC), North-eastern China (NEC), North China Plain (NCP), Eastern China excluding Jiangsu Province (ECE), Jiangsu Province (JSP), Southern China (STC), and the rest of the World (ROW).



**Fig. 2.** The simulated (contours) and observed (scatters) mean near-surface  $O_3$  concentrations (ppb) over the China during the (a) warm (May-June-July-August-September) and (b) cold (December-January-February) seasons averaged over 2013–2019. The correlation coefficient and normalized mean bias (NMB,  $\Sigma(\text{Model} - \text{Observation}) / \Sigma \text{Observation} \times 100\%$ ) are shown on the top right of each panel. The region of Jiangsu Province ( $30^\circ 45' - 35^\circ 20'N, 116^\circ 18' - 121^\circ 57'E$ ) is marked with black box.

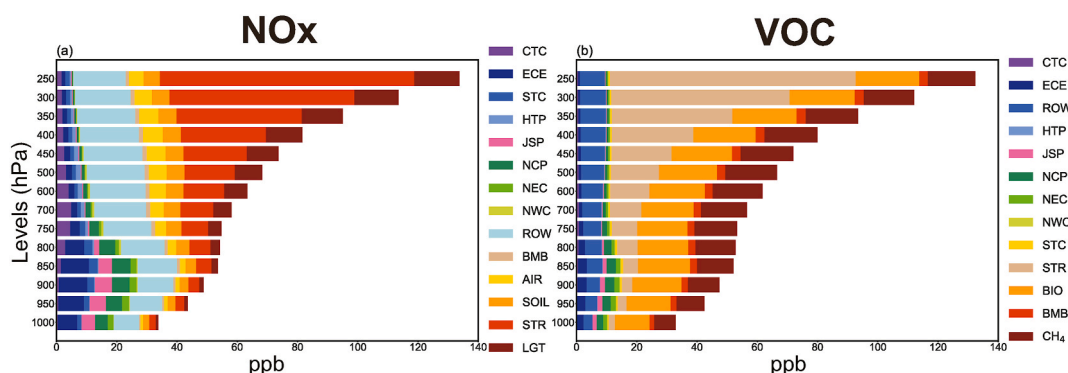


**Fig. 3.** Relative contributions (%) of  $NO_x$  and VOCs emissions from individual source regions to the seasonal and annual near-surface  $O_3$  concentration in the tagged area in Jiangsu Province over 2013–2019. Circles from inner to outer illustrate the apportionment for spring (March–April–May), summer (June–July–August), autumn (September–October–November), winter (December–January–February) and annual average, respectively, in percentage relative to the respective total near-surface  $O_3$  concentration. Sources with small contributions are combined and shown as OTH.

emitted from soil (SOIL) in summer and autumn are the largest (both 7 %) among the four seasons. It is revealed that, from the  $NO_x$  perspective, the near-surface  $O_3$  in Jiangsu Province is primarily attributed to the surrounding and remote anthropogenic sources through long-range transport (44 %), while local emissions only account to 13 % of annual mean  $O_3$  in Jiangsu.

In the VOCs-tagging experiment, biogenic VOCs emission (BIO),

methane ( $CH_4$ ), and ROW are the major sources of annual mean near-surface  $O_3$  in Jiangsu Province, accounting for 34 %, 21 % and 9 %. Biogenic VOCs emissions account for a large proportion of contribution in all seasons, especially in summer (42 %). The contributions of  $CH_4$  and ROW to near-surface  $O_3$  in Jiangsu Province reach the maximum in winter, by 22 % and 14 %, respectively. STR contributes to 6 % of  $O_3$  in Jiangsu Province annually, and the STR contribution reaches its peak in



**Fig. 4.** Vertical profile of contributions (ppb) from individual source regions to annual mean  $O_3$  concentrations in Jiangsu Province.



winter (18 %). The local anthropogenic VOCs emissions (JSP) have small contributions in all seasons (less than 5 %). It suggests that, from the perspective of VOCs, the near-surface  $O_3$  in Jiangsu Province is mainly attributed to biogenic sources and  $CH_4$  (55 %), while local emissions account for only 4 % of annual average  $O_3$  in Jiangsu.

Fig. 4 presents the vertical profile of contributions from individual source regions to annual mean  $O_3$  concentrations in Jiangsu Province. In the  $NO_x$ -tagging experiment, ECE and ROW emissions are the primary sources of  $O_3$  in Jiangsu Province below 700 hPa. NCP and JSP local emissions also considerably contribute to  $O_3$  at this level.  $O_3$  from stratosphere and contributions from aircraft, lightning and ROW increase with height and become the major contributors of  $O_3$  in Jiangsu Province above 700 hPa. In VOCs-tagging, biogenic VOCs and  $CH_4$  together explain more than half of the  $O_3$  in Jiangsu from the surface to 500 hPa, while the  $O_3$  from stratosphere dominates the concentration at the upper troposphere.

### 3.2. Source apportionment of ozone from emitting sectors

Fig. 5 shows the relative contributions of  $NO_x$  and VOCs emissions from individual source sectors to seasonal and annual near-surface  $O_3$  concentration in Jiangsu Province during the analyzed period and Tables S7 and S8 summarize the values. In the  $NO_x$ -tagging experiment, anthropogenic emissions from the surface transportation (TRA), industry (IND), and energy (ENE) sectors are the three primary contributors to the annual average near-surface  $O_3$  concentration in Jiangsu Province, explaining 22 %, 21 %, and 20 % of the annual concentration, respectively. Natural  $NO_x$  emissions from soil (SOIL), stratospheric chemical production (STR), and lightning  $NO_x$  emissions (LGT) account for only 6 %, 6 % and 4 % of the annual mean concentration of surface  $O_3$  in Jiangsu Province. It reveals that, from the  $NO_x$  perspective, the near-surface  $O_3$  in Jiangsu Province are primarily attributed to anthropogenic sources (83 %), especially from ground transportation, industry and energy sectors, while natural sources only account for 17 % of annual  $O_3$  in Jiangsu.

In the VOCs-tagging experiment, anthropogenic VOCs emissions account for 34 % of annual near-surface  $O_3$  concentration in Jiangsu Province and this contribution reaches the maximum in spring (41 %). Surface transportation, industry, energy and residential sectors each contributes 6 %–8 % of the annual  $O_3$  in Jiangsu. Consistent with the regional contribution analysis, biogenic VOCs are the major source sector of annual mean near-surface  $O_3$  concentration in Jiangsu Province

contributing about one-third of the  $O_3$  concentration, followed by the contribution from  $CH_4$ .

Fig. 6 presents the vertical profile of contributions from individual source sectors to annual mean  $O_3$  concentrations in Jiangsu Province. In the  $NO_x$ -tagging experiment, TRA, ENE and IND emissions are the primary anthropogenic sources of  $O_3$  in Jiangsu Province below 800 hPa. The  $O_3$  from stratosphere dominates the concentration at the upper troposphere. In the VOC-tagging experiment, the anthropogenic VOCs together explain less than one-third of the  $O_3$  in Jiangsu from the surface to 500 hPa, while biogenic VOCs largely contribute  $O_3$  throughout the troposphere.

### 3.3. Source apportionment of ozone during polluted days

Understanding the sources of  $O_3$  on pollution days in Jiangsu Province can help to establish an effective and scientific way of reducing air pollution events. The  $O_3$  pollution days are identified as the days when the daily  $O_3$  in the receptor area (i.e. Jiangsu Province) is above the 90th percentile of the probability density of  $O_3$  concentrations during May–September (Fig. S1), when the  $O_3$  pollution is severe in China. In the 7-year simulation, in total 111 days are identified as polluted days in Jiangsu Province.

Fig. 7 shows the composite differences in near-surface  $O_3$  concentrations and 850 hPa winds between  $O_3$  pollution days and normal days in Jiangsu Province, and Fig. 8 presents the composite differences in the influencing meteorological factors. When Jiangsu Province is under the polluted condition, with near-surface  $O_3$  concentrations higher than normal, the maximum increase in  $O_3$  concentration exceeds 20 ppb (Fig. 7a). During the polluted days, the surface air temperature is higher than normal across China. Incoming solar radiation increases in Jiangsu Province and surrounding regions, while cloud fraction and relative humidity decrease over these regions. These changes in the meteorological factors favor the local chemical production of  $O_3$ , contributing to the high  $O_3$  concentrations during the polluted days. In addition, during the  $O_3$  pollution days, an anomalous cyclone is located over the north-western Pacific and the associated anomalous northerly winds can transport  $O_3$  from the polluted NCP to the south, partly explaining the  $O_3$  increases in Jiangsu.

Fig. 9 summarizes the source contributions to the differences from individual emission source regions. During the  $O_3$  pollution days in Jiangsu Province, local  $NO_x$  emissions and sources from surrounding ECE region contribute 4.5 ppb and 9.9 ppb to the  $O_3$  concentration

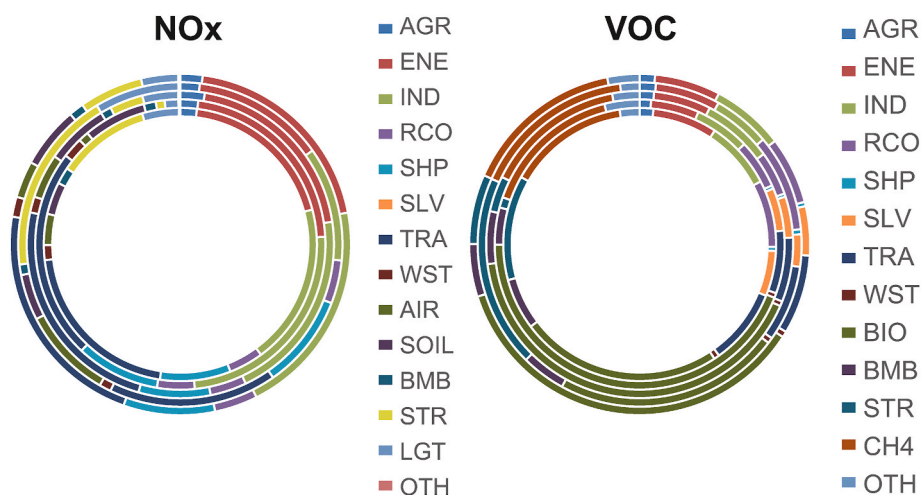


Fig. 5. Relative contributions (%) of  $NO_x$  and VOCs emissions from individual source sectors to the seasonal and annual near-surface  $O_3$  concentration in the tagged area in Jiangsu Province over 2013–2019. Circles from inner to outer indicate spring (March–April–May), summer (June–July–August), autumn (September–October–November), winter (December–January–February) and annual average, respectively, in percentage relative to the total near-surface  $O_3$  concentration. Sources with small contributions are combined and shown as OTH.

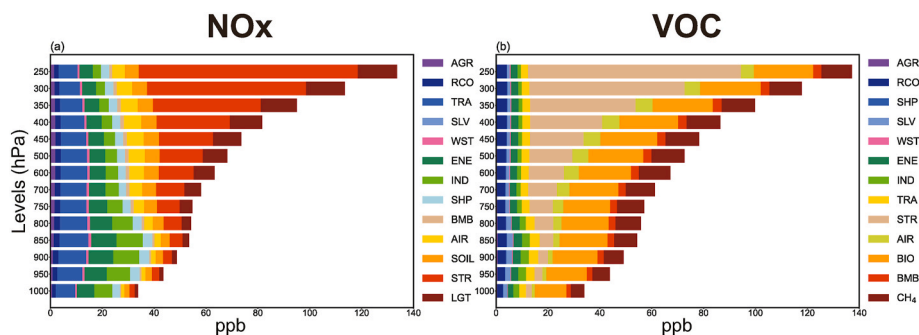


Fig. 6. Vertical profile of contributions (ppb) from individual sectors to annual mean  $O_3$  concentrations in Jiangsu Province.

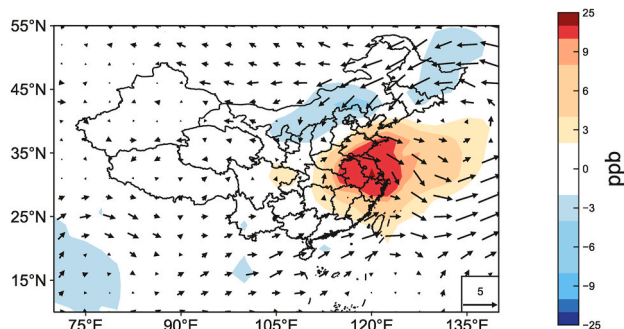


Fig. 7. Composite differences in near-surface  $O_3$  concentrations (contours, ppb) and 850 hPa winds (vectors,  $m s^{-1}$ ) between  $O_3$  pollution days and normal days during May–September.

increase in Jiangsu Province, accounting for 85 % of the total increase, owing to the enhanced photochemical production in favorable meteorological conditions. The anomalous northerly winds transport polluted air from NCP and contribute 6.6 ppb (39 % relative to the total increase) to  $O_3$  concentration increase in Jiangsu Province in the polluted days. In the VOC-tagging experiment, VOCs from ECE, NCP and Jiangsu local sources are also the top three anthropogenic contributors to the  $O_3$  increase in Jiangsu Province. It suggests that during the  $O_3$  pollution days, the increase in near-surface  $O_3$  concentration in Jiangsu Province is attributed to both the enhanced photochemical production and the regional transport. The source sectors analysis also shows that the  $NO_x$

contributions increase from the industry and energy sectors during the polluted days compared to the normal days, while the shipping contribution decreases (Tables S9 and S10), consistent with the decrease in ROW contribution (Fig. 9).

#### 4. Conclusions and discussions

Jiangsu, a pivotal region in the Yangtze River Delta, which is one of China's most densely populated provinces, faces severe  $O_3$  pollution in recent years. In this study, the source apportionment of near-surface  $O_3$  from various emission source regions and sectors of  $O_3$  precursors in Jiangsu Province is quantified using a global chemistry-climate model equipped with an  $O_3$  source tagging technique.

The annual near-surface  $O_3$  concentrations in Jiangsu Province are primarily contributed by surrounding and remote anthropogenic  $NO_x$  emissions through long-range transport, while local anthropogenic  $NO_x$  emissions account for only 13 % of the annual mean near-surface  $O_3$ . Anthropogenic  $NO_x$  emissions from ECE and Jiangsu have the largest contributions in summer, while ROW contributes significantly in winter.  $NO_x$  emissions from surface transportation, industry, and energy sectors account for 21 %, 22 % and 20 % of the annual mean  $O_3$  concentration in Jiangsu Province, respectively. The source regions contributing to  $O_3$  concentrations below 700 hPa are consistent with those for near-surface  $O_3$ , while stratosphere, aircraft, lightning, and ROW are the primary contributors to  $O_3$  above 700 hPa. Anthropogenic and biogenic VOCs emissions each account for one-third of the annual mean near-surface  $O_3$  concentration in Jiangsu Province, while  $CH_4$  and stratospheric chemical production contribute 21 % and 6 %, respectively. Biogenic VOCs

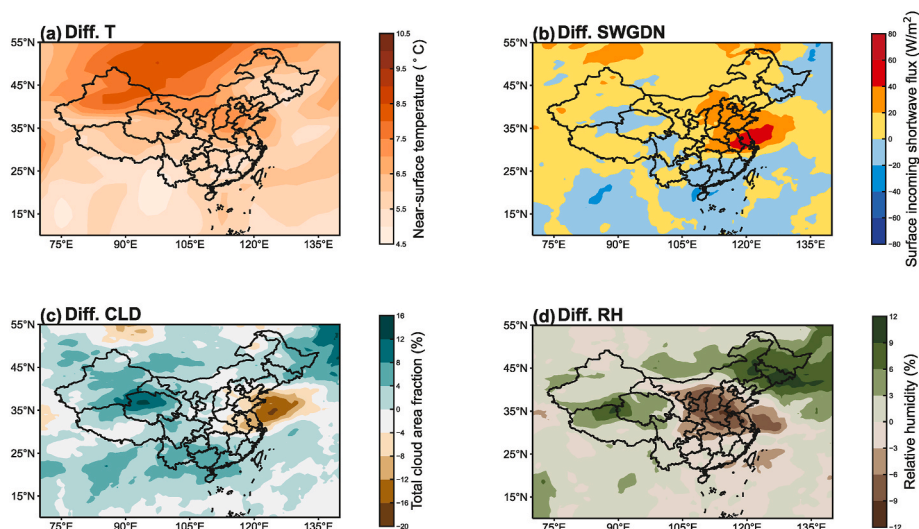
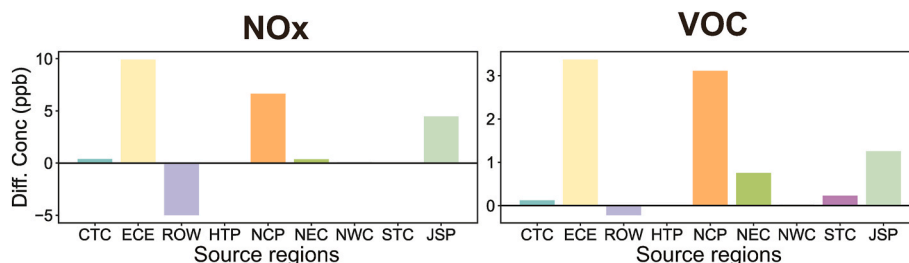


Fig. 8. Composite differences in (a) surface air temperature ( $^{\circ}C$ ), (b) surface incoming shortwave flux ( $W m^{-2}$ ), (c) cloud fraction (%), and (d) relative humidity (%) between  $O_3$  polluted days and normal days during May–September.



**Fig. 9.** Contributions (ppb) of anthropogenic NO<sub>x</sub> (left) and VOCs (right) emissions from individual source regions to the increase in near-surface O<sub>3</sub> concentration in Jiangsu Province between O<sub>3</sub> polluted days and normal days during May–September.

emissions account for a large portion of contribution in all seasons, especially in summer. The contribution of biogenic VOCs is the largest in summer, while the contribution of CH<sub>4</sub> reaches the maximum in winter.

During the O<sub>3</sub> pollution days, the near-surface O<sub>3</sub> concentration in Jiangsu Province increases by a maximum of 20 ppb, which is attributed to both the enhanced photochemical production and the regional transport. Changes in meteorological factors such as higher temperatures, increased incoming solar radiation, and decreases in cloud fraction and relative humidity over Jiangsu and surrounding regions are conducive to the chemical formation of O<sub>3</sub>, resulting in an increase in O<sub>3</sub> concentration during the polluted days. In addition, an anomalous cyclone is located over the northwestern Pacific and the associated anomalous northerly winds transport polluted air from NCP, which contributes 39 % to the O<sub>3</sub> concentration increase in Jiangsu Province in the polluted days.

In this study, the dominance of transboundary contributions from surrounding regions and remote areas highlights the necessity of coordinated emission controls across the Yangtze River Delta and adjacent provinces and the need to strengthen international cooperation. Regional joint action plans targeting anthropogenic NO<sub>x</sub> emissions from industry, energy, and transportation sectors should be prioritized. With biogenic and anthropogenic VOCs each contributing about one third to annual O<sub>3</sub> levels, policies should balance reductions in anthropogenic VOCs (e.g., solvents, petrochemicals) with strategies to mitigate biogenic VOCs impacts through urban greening optimization (e.g., selecting low-emission tree species).

It should be noted that the model overestimates the regional O<sub>3</sub> concentration in China, partly due to the coarse model resolution and possible deficiency in the O<sub>3</sub> chemistry of the model. The model setup of fixing soil NO<sub>x</sub> emissions and biogenic emissions at the current level could lead to the biases in the modeled interannual variability of natural contributions to O<sub>3</sub>. In addition, only the wind fields are nudged towards the MERRA-2 reanalysis, without considering temperature and humidity nudging. These uncertainties may affect the quantitative results in this study, which should be revisited in future studies using improved state-of-the-science chemistry-climate models. Nevertheless, this study not only reveals the main drivers of near-surface O<sub>3</sub> in Jiangsu Province, but also provides an important reference for the development of cross-regional synergistic control strategies, which is particularly practically important in addressing intercontinental transport and intersectoral synergistic emission reduction.

#### CRediT authorship contribution statement

**Siqi He:** Writing – original draft, Visualization, Software, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Yang Yang:** Writing – review & editing, Supervision, Project administration, Formal analysis, Data curation, Conceptualization. **Hailong Wang:** Writing – review & editing. **Pinya Wang:** Writing – review & editing. **Hong Liao:** Writing – review & editing.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.atmosenv.2025.121205>.

#### Data availability

Data will be made available on request.

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